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MAY 03 2010

OFFICE OF PETITIONS

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DARDI

In re the application of:

Attorney Docket No.: 2950.21US01

KUMAR

Confirmation No.: 4854

Patent No.:

7,101,520

Application No.: 10/772,102

Issued:

September 5, 2006

Filing Date: February 4, 2004

For:

HIGH LUMINESCENCE PHOSPHOR PARTICLES AND METHODS FOR

PRODUCING THE PARTICLES

CORRECTION OF ERROR IN SMALL ENTITY STATUS UNDER 37 C.F.R. § 1.28(c)

Mail Stop M Correspondence Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Small entity status was established in good faith and fees were paid as a small entity in good faith in the above-indicated patent application. It was later discovered that such small entity status was established in error or that through error the Office was not notified of a loss of entitlement of small entity status as required by § 1.27(g)(2). Applicant respectfully requests that the error be excused and in compliance with 37 C.F.R. §§ 1.28(c)(1) and 1.28(c)(2) submits the required itemization of deficiency payment and payment of the deficiency owed.

The current fee for the 3.5 maintenance fee is \$980.00 (large entity). A payment of \$490.00 (small entity) was paid on or about March 5, 2010 (a copy of the maintenance fee statement confirming payment is attached). Therefore a balance of \$490.00 is due to cover the difference in small to large entity status.

04/27/2010 MBANGURA 00000001 503863

01 FC:1559

499.88 DA

7101520

Attorney Docket No.: 2950.21US02

The Commissioner is hereby authorized to charge Deposit Account No. 50-3863 in the amount of \$490.00 to cover the deficiency.

Respectfully submitted,

en S. Dard

Peter S. Dardi, Ph.D. Registration No. 39,650

Customer No. 62274 Dardi & Herbert, PLLC US Bank Plaza, Suite 2000 220 South 6<sup>th</sup> Street Minneapolis, Minnesota 55402 Telephone: (404) 949-5730

Please grant any extension of time necessary for entry; charge any fee due to Deposit Account No. 50-3863

## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office, Fax No. 571-273-6500 on the date shown below.

April 22, 2010

Date

Peter S. Dardi



₽04/19/2010 07:42

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## MAINTENANCE FEE STATEMENT

According to the records of the U.S.Patent and Trademark Office (USPTO), the maintenance fee and any necessary surcharge have been timely paid for the patent listed below. The "PYMT DATE" column indicates the payment date (i.e., the date the payment was filed).

The payment shown below is subject to actual collection. If the payment is refused or charged back by a financial institution, the payment will be void and the maintenance fee and any necessary surcharge unpaid.

Direct any questions about this statement to: Mail Stop M Correspondence, Director of the USPTO, P.O.Box 1450, Alexandria, VA 22313-1450.

PATENT NUMBER	<b>FEE</b> АМТ	SUR CHARGE	PYMT DATE	U.S. APPLICATION NUMBER	PATENT ISSUE DATE	APPL. FILING DATE	PAYMENT YEAR	SMALL ENTITY?	ATTY DKT NUMBER	
6,692,660	\$450.00	\$0.00	08/17/07	09/843,195	02/17/04	04/26/01	04	YES	2950.21US01	-

PTOT.-439 (Rev. 09/2006)

MAY 03 2010

OUR REF.: 2950.21US02



OFFICE OF PETITIONS

ATTORNEYS AT LAW

Intellectual Property-patents. trademarks & copyrights

Peter S. Dardi, Ph.D. Curtis B. Herbert, Ph.D. Elizabeth Quan Shipsides

FACSIMILE COVER SHEET

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Patent Agent Mengmeng A. Fahrni, Ph.D.

TOTAL NUMBER OF PAGES BEING SENT: 4

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April 22, 2010

TO:

Director of the USPTO Maintenance Fee Branch

PHONE #:

FAX #:

571-272-6500 571-273-6500

Patent No.:

7,101,520

Applicant:

KUMAR

Due Date:

N/A

FROM:

Peter S. Dardi, Ph.D.

PHONE #:

404-949-5730

Attached please find the following for filing in the above-identified application.

Correction of Error in Small Entity Status Under 37 C.F.R. § 1.28(C). (1)

> Respectfully submitted, ello. Ward

Peter S. Dardi, Ph.D. Registration No. 39,650

CERTIFICATE OF FACSIMILE TRANSMISSION

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